# BES Results on Inclusive D Meson Decays

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A measurement of branching fractions of the $D^0$ and $D^+$ mesons into the $\phi$ meson is reported. The result is based on a data sample of 22.3 pb$^{-1}$ collected at the CM energy of 4.03 GeV with the BES detector operated at the BEPC $e^+e^-$ storage ring. From tagged $D\overline{D}$ pair events the average branching fraction for a mixture of $D^0$ and $D^+$ is determined to be $B(D \to \phi X) = (1.29 \pm 0.51 \pm 0.12)\%$. Upper limits at 90% confidence level are set to be $B(D^0 \to \phi X) < 2.5\%$, $B(D^+ \to \phi X) < 5.0\%$, and $B(D^+ \to \phi e^+ \nu) < 1.6\%$.

## I. INTRODUCTION

In an era of high precision experiments such as the B factories and the LHC, accurate measurements of b-flavored particles can benefit from a better knowledge of charm decays and their branching fractions. The inclusive decay $D \to \phi X$ has not been measured [1]. This branching fraction can serve as an independent check of the existence of additional exclusive decays of D mesons that contain a $\phi$ meson [1], and for $B_s^0$ physics studies that use the $\phi\ell$ pair to tag the $B_s^0$ meson [2]. In addition, this branching fraction would be helpful in understanding the charm meson decay mechanisms.

In this paper, we report a first measurement of the inclusive $\phi$ decay branching fractions of charged and neutral D mesons and a new search for the exclusive semileptonic decay $D^+ \to \phi e^+ \bar{\nu}$.

## II. DATA SAMPLE AND ANALYSIS METHODS

This measurement is based on 22.3 pb$^{-1}$ of data collected in $e^+e^-$ annihilations at $\sqrt{s} = 4.03$ GeV at the BEPC during the 1992-1994. The BES detector has been described in detail elsewhere [3].

At $\sqrt{s}$=4.03 GeV charm mesons $D^0$ and $D^+$ are produced via

$$e^+e^- \to D^+D^-, D^0\overline{D^0},$$
$$D^+D^{*-}, D^{*+}D^-, D^0\overline{D^{*0}}$$
$$D^{*+}D^{*-}, D^{*0}\overline{D^{*0}}$$

followed by cascade decays of the $D^*$ mesons. However, the $D^{*-}$ can decay either to $\pi^-\overline{D^0}$ or $\pi^0(\gamma)D^-$, so that reconstructing a D meson does not necessarily determine whether the recoiling D meson is charged or neutral. In order to measure specifically $B(D^0 \to \phi X)$ and $B(D^+ \to \phi X)$, the numbers of neutral and charged D mesons recoiling against a reconstructed D meson, and the type of the D meson from which the $\phi$ mesons come, must be determined. To this end two methods have been developed and are used to measure the inclusive branching fractions of the D mesons.

### A. The $D^0$ and $D^+$ combinative double tag method (CDTM)

To measure inclusive $\phi$ branching fractions of the $D^0$ and $D^+$ mesons, the $\phi$ is searched in the recoil side against a fully reconstructed D meson, and the numbers of $\phi$ events against the $D^0$ and $D^+$ decays, $N^{\phi}_{D^0_{tag}}$, $N^{\phi}_{D^+_{tag}}$, are determined, which can be related via

[1] Throughout this paper, charge conjugation is implied.

$$N^{\phi}_{D^0_{tag}} = \epsilon N^{D^-}_{D^0_{tag}} B(D^- \to \phi X) + \epsilon N^{\overline{D^0}}_{D^0_{tag}} B(\overline{D^0} \to \phi X), \quad (1)$$

$$N^{\phi}_{D^+_{tag}} = \epsilon N^{D^-}_{D^+_{tag}} B(D^- \to \phi X) + \epsilon N^{\overline{D^0}}_{D^+_{tag}} B(\overline{D^0} \to \phi X), \quad (2)$$

to the branching fractions of their decays, $B(D^- \to \phi X)$ and $B(D^0 \to \phi X)$, where $N^{D^-}_{D^0_{tag}}$, $N^{\overline{D^0}}_{D^0_{tag}}$, $N^{D^-}_{D^+_{tag}}$, and $N^{\overline{D^0}}_{D^+_{tag}}$ are respectively the numbers of $D^-$ and $\overline{D^0}$ decays on the recoil against $D^+$ and $D^0$ tags, and $\epsilon$ is the detection efficiency of the $\phi$. The values of $N^{D^-}_{D^0_{tag}}$, $N^{\overline{D^0}}_{D^0_{tag}}$, $N^{D^-}_{D^+_{tag}}$, and $N^{\overline{D^0}}_{D^+_{tag}}$ are determined from a measurement of the total production cross-sections of ractions $e^+e^- \to D^*\overline{D^*}, D^*\overline{D}$ at 4.03 GeV by BES [5].

### B. The recoil charge method

At $\sqrt{s}$ =4.03 GeV, $D^*\overline{D^*}$ and $D^*\overline{D}$ pairs are produced with no additional charged tracks. Charged pions arising from direct $D^*$ decays are very slow, and are mostly undetected in the BES detector. As a result, only decay products of the $D^+$ and $D^0$ are visible for most events. Let $Q_D$ be the charm flavor of the reconstructed D meson, and $Q_{rec}$ be the total charge of tracks recoiling against this D meson. The $Q_{rec}$ distribution for $D^0$ ($D^+$) centers at 0 (1), and has a spread of $\pm 1$. The recoil charge method selects neutral and charged D mesons according to

$$Q_{rec} = 0, \quad \text{or} \quad Q_{rec} = Q_D = -1 \text{ for } D^0 \; tags \quad (3)$$

and

$$Q_{rec} \cdot Q_D < 0 \quad \text{for } D^+ \; tags \quad (4)$$

For inclusive D decays, the efficiency and the misidentification rate are 0.74±0.02 and 0.25±0.02, respectively, as obtained from Monte Carlo simulations, and are approximately the same for both charged and neutral D mesons. These numbers are confirmed using kinematically selected data events $e^+e^- \to D^+D^-$ and $e^+e^- \to D^0\overline{D^0}$. For events in which a D tag and a recoil $\phi$ has been fully reconstructed, the efficiency of the recoil charge method is improved over that of the inclusive D events. A Monte Carlo study of various D decay modes into final states containing a $\phi$ has been performed, and the variations among their efficiencies are included in the systematic errors. For these events, the recoil charge method selects D meson type correctly 0.91±0.01±0.02 of the time, and misidentifies a D for 0.09±0.01±0.02 of the events, where the first error is due to Monte Carlo statistics, and the second is systematic.

## III. DATA ANALYSIS

### A. Reconstruction of D, $\phi$ Mesons

Charged tracks are required to have good helix fits which have a normalized chi-square of less than 9 per degree of freedom. These tracks must satisfy $|\cos\theta| < 0.8$, where $\theta$ is the polar angle, and be consistent with coming from the primary event vertex. For charged particles, a particle identification procedure is applied. A combined particle confidence level calculated using the $dE/dx$ and TOF measurements is required to be greater than 1% for the $\pi$ hypothesis. For the kaon hypothesis, $L_k > L_\pi$, where $L$ is the likelihood for a particle type, is required.

Charged and neutral D mesons are reconstructed via decays $D^0 \to K^-\pi^+$, $K^-\pi^-\pi^+\pi^+$ and $D^+ \to K^-\pi^+\pi^+$. To reduce combinatorial backgrounds, only D mesons from $e^+e^- \to \overline{D}D^*, D^*\overline{D^*}$ reactions are selected with cuts on the momenta of Kn$\pi$ combinations. Figures 1(a), 1(b) and 1(c) show the invariant mass distributions for events that pass the selections. The signals are fitted, and after having accounted for double counting, the number of D events is

determined to be $9054 \pm 309 \pm 416$, where the first error is statistical and the second systematic. These D events are used as tagged $e^+e^- \to \overline{D}D^*, D^*\overline{D^*}$ events in which the recoil side contain an unbiased $\overline{D}$ decay.

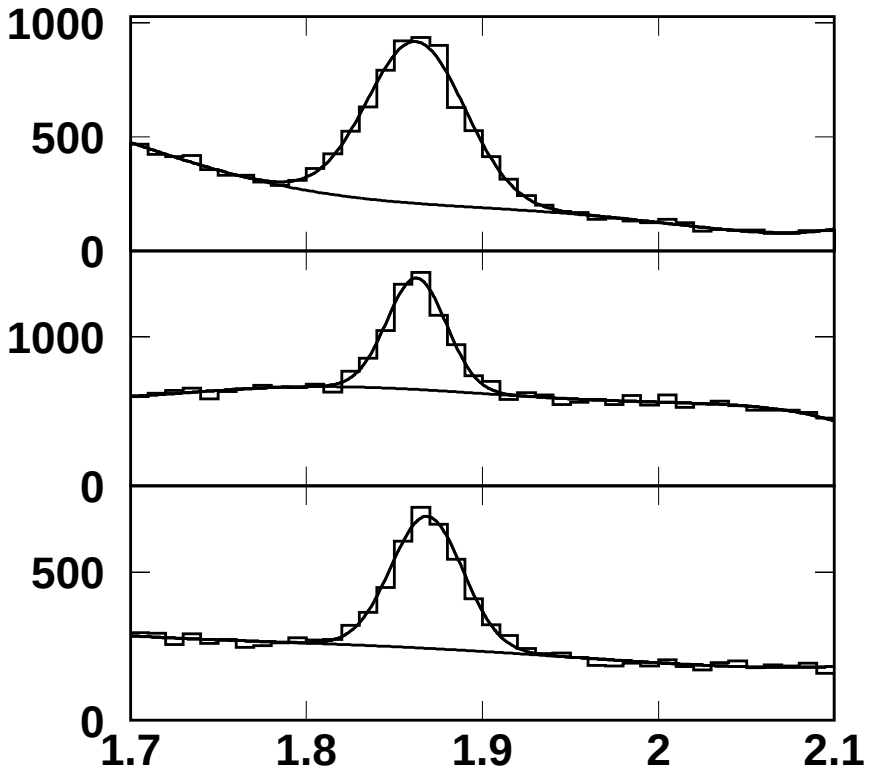


FIG. 1. Invariant mass distributions for $K^-\pi^+$ (top), $K^-\pi^+\pi^+$ (middle), and $K^-\pi^+\pi^+\pi^-$ (bottom).

Table 1 summarizes the numbers of neutral and charged D mesons in the recoil against the reconstructed D tags. The averages from the CDTM method and the recoil charge method, calculated assuming a full correlation between their statistical errors, are 6803±303±322 and 2251±77±112 for $D^0$ and $D^+$, respectively.

The $\phi$ meson is reconstructed through its decay to $K^+K^-$. Figure 2 shows the invariant mass distribution of $K^+K^-$ pairs selected. Using convoluted Breit-Wigner and Gaussian functions plus a third order polynomial background to fit the mass spectrum, a mass of $1.0194 \pm 0.0002$ GeV/$c^2$ and a total of $1108 \pm 70$ $\phi$ events are obtained. In this measurement, a $\phi$ signal window is defined as the region from 1.00 to 1.04 GeV/$c^2$, as indicated by the arrows in Figure 2.

TABLE I. Numbers of neutral and charged D mesons on the recoil

| method | number of $D^0$ events | number of $D^+$ events |
|---|---|---|
| CDTM | 6839±308 | 2215±70 |
| recoil charge | 6767±297 | 2287±83 |
| Average | 6803±303 | 2251±77 |

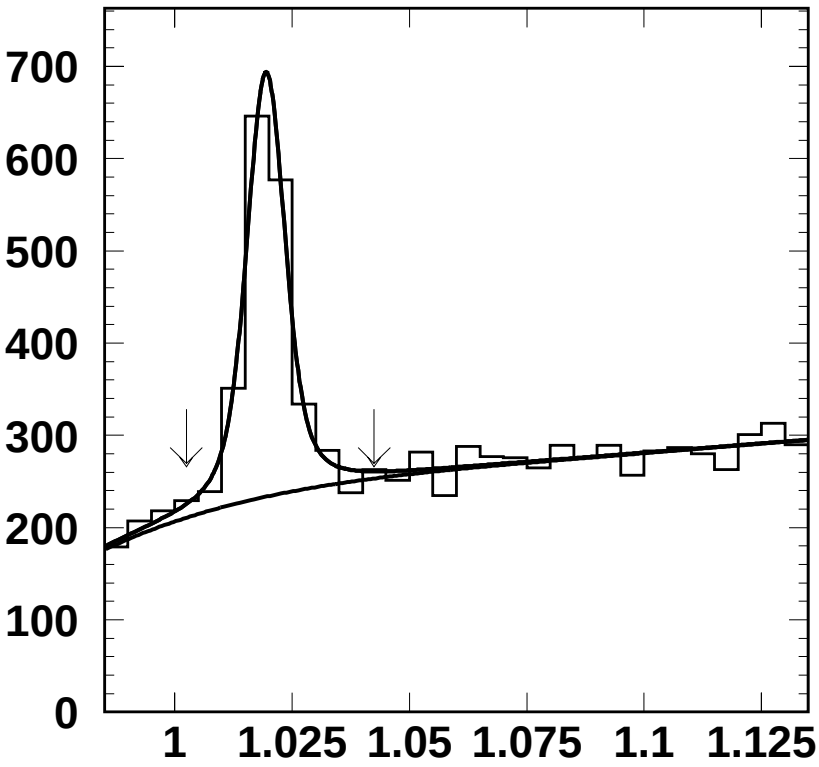


FIG. 2. Invariant mass distribution of $K^+K^-$ pairs.

### B. Inclusive $D \to \phi X$

Figures 3(a) and 3(b) show the invariant mass distributions of $K^+K^-$ pairs from $D^+$ and $D^0$, respectively, as identified by the recoil charge selection criteria. The $Kn\pi$ invariant masses for the single tag are within $\pm 2.5\sigma_{M_D}$ of the D masses. In this measurement, $K^+K^-$ pairs with masses in the ranges 0.98 - 1.00 GeV/$c^2$ and 1.04 - 1.15 GeV/$c^2$ are taken as background for the $\phi$. The $Kn\pi$ mass regions from 1.7 to 2.1 GeV/$c^2$, excluding regions within $\pm 3\sigma_{M_D}$ of the fit D masses, are defined as background control regions for the D mesons. As shown in Figures 3(a) and 3(b), 15 events are found as D$\phi$ candidates, and 14 events are selected as background outside the $\phi$ mass region. Using the D sideband events, a total of 0.5±0.5 background events has been estimated as the background among the D candidates. Subtracting the background contributions to both the D and the $\phi$, we obtain an excess of 10.2±4.0 events in the $\phi$ signal region.

The two D type identification methods, CDTM and the recoil charge method, are applied to these events to extract the numbers of $\phi$ from specific $D^0$ and $D^+$ decays. Subtracting backgrounds estimated using the $\phi$ and D side bands, the two methods determine 3.7±4.7 (CDTM) and 9.7±4.2 (recoil charge) $D^0 \to \phi X$ events, and 6.5±5.5 (CDTM), and 0.5±1.7 (recoil charge) $D^+ \to \phi X$ events, respectively. Averaging over the two methods and assuming a complete correlation in their statistical errors, the number of $D^0 \to \phi X$ and $D^+ \to \phi X$ events are set to be 6.7±4.5, and 3.5±3.6, respectively, and are used to determine their branching fractions.

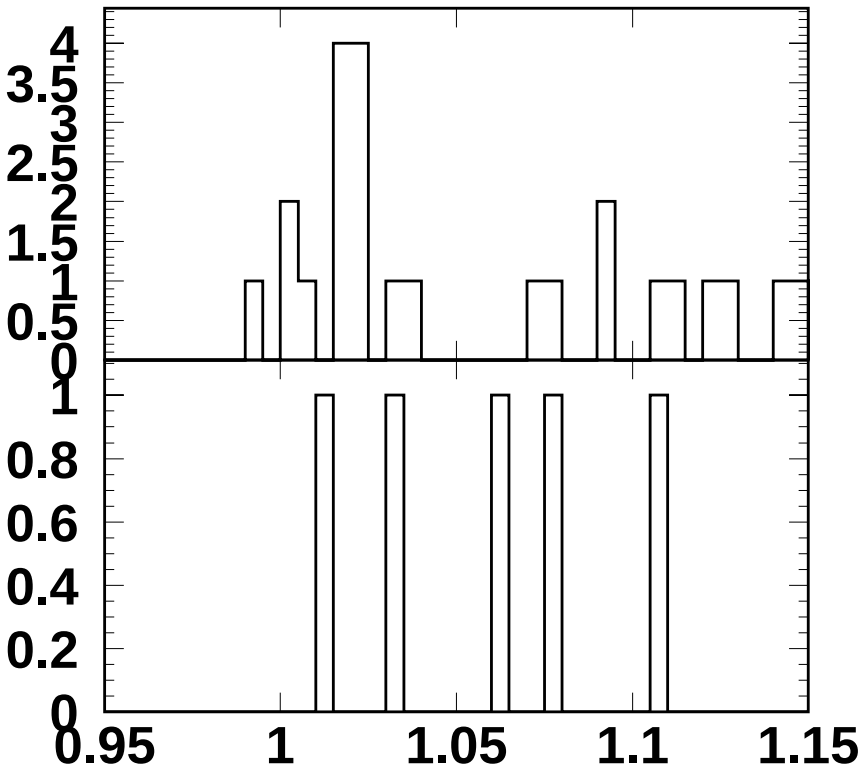


FIG. 3. $K^+K^-$ invariant mass distributions for $D^0 \to \phi X$ (top), and $D^+ \to \phi X$ (bottom).

### C. Search for the decay $D^+ \to \phi e^+ \nu$

Among the 15 $\phi$ candidates observed in the recoil side of the events, 4 are accompanied by at least one charged track which are within $|cos\theta| < 0.85$. Each of these tracks is checked for consistency with being an electron using the $dE/dx$ information. This electron identification requires that electron confidence level to be greater than 1%, and $L_e > L_\pi$. None of the accompanying tracks is identified as an electron.

## IV. RESULTS

Assuming 10.2±4.0 signal $D \to \phi X$ events, and correcting for $\phi$ meson detection efficiency of 0.084±0.006 obtained from a Monte Carlo simulation, the average branching fraction for the BES mixture of $D^0$ and $D^+$ mesons is measured to be

$$B(D \to \phi X) = (1.29 \pm 0.51 \pm 0.12)\%,$$

where the first errors are statistical and second systematic.

Based on 6.7±4.5 $D^0 \to \phi X$ and 3.5±3.6 $D^+ \to \phi X$ events, as determined in the previous section, 90% C. L. upper limits are set on specific $D^0$, $D^+$ decays to be

$$B(\mathrm{D}^0 \to \phi \mathrm{X}) < 2.5\%,$$

$$B(\mathrm{D}^+ \to \phi \mathrm{X}) < 5.0\%$$

The results include systematic errors arising from uncertainties ($\pm 0.05\%$, $\pm 0.06\%$ and $\pm 0.04\%$) in the numbers of singly tagged D mesons due to the choice of a background function and fit interval for the single tag samples and uncertainties ($\pm 0.08\%$, $\pm 0.13\%$ and $\pm 0.09\%$) in the inclusive $\phi$ efficiency. The combined effect of these sources is obtained by adding the uncertainties in quadrature, which yields total systematic errors of $\pm 0.10\%$, $\pm 0.14\%$ and $\pm 0.10\%$ for the $\mathrm{D}^0$, $\mathrm{D}^+$, and their sum, respectively.

Based on zero candidate $\mathrm{D}^+ \to \phi \mathrm{e}^+ \nu$ events, and a detection efficiency of 0.0652, a 90% C. L. limit is set for the decays at

$$B(\mathrm{D}^+ \to \phi \mathrm{e}^+ \mathrm{X}) < 1.6\%.$$

## V. CONCLUSION

In summary, the inclusive branching fractions of the charged and neutral D mesons into a $\phi$ have been directly measured. Comparing with the sums of the existing measurements on the exclusive $\mathrm{D}^0$ and $\mathrm{D}^+$ decays containing a $\phi$ in the final states, these BES branching fraction values indicate little room for additional $\phi$ decay modes of $\mathrm{D}^0$ and $\mathrm{D}^+$ mesons.

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